

To: **FINANCIAL SUPERVISORY AUTHORITY**  
**Financial Instruments and Investments Sector**

No. 223/12.01.2021

**BUCHAREST STOCK EXCHANGE – Regulated market**

From: **ROMPETROL RAFINARE S.A.**

**CURRENT REPORT**

prepared in compliance with the provisions of Law no. 24/2017 on issuers of financial instruments and market operations and Regulation no. 5/2018 on issuers of financial instruments and market operations

Report date: **January 12, 2021**

**ROMPETROL RAFINARE S.A.**

Registered Seat: Năvodari, 215 Năvodari Blvd. (Administrative Building), Constanta County

Telephone number: 0241/506100

Fax number: 0241/506930; 506901

Number of registration with the Trade Registry: J13/534/1991

Sole Registration Code: 1860712

Subscribed and paid-up capital: RON 4,410,920,572.60

Regulated market on which the securities are traded: Bucharest Stock Exchange (market symbol RRC)

**Significant events to report:** Reporting transactions with Affiliate parties according to art. 92 ^ 3 of Law 24/2017 concluded by Rompetrol Rafinare S.A. with its affiliates between July 11, 2020 – December 31, 2020

No.	Name of the Affiliate party	Nature of the relationship with the Affiliate	Type and No. Contract, date of conclusion	Object of transactions	Transaction value	Mutual liabilities	Guarantees constituted	Terms and methods of payment	Other Information
0	1	2	3	4	5	6	7	8	9
<b>Transactions of ROMPETROL RAFINARE S.A. (Issuer) as Beneficiary</b>									
1	KazMunayGas Trading AG	Affiliate	08478/01.12.2019 - PART 3_LIGHT CRUDE-2020-06	Purchase of raw materials	USD 26,568,102		NA	30 days from the B/L date	Penalties for each day of delay, interest equal to the LIBOR rate (1 month) + 2.50% per year, published by ICE BENCHMARK ADMINISTRATION at 11:00 AM, London time. The interest is cumulated starting with the 31st day from the date of delivery until the date of payment made by the Buyer. Except for the above conditions, the Buyer will pay penalties for each day of delay at the rate at which KMGT pays them to its supplier based on the invoice. The penalty invoice is due 5 working days after receipt. In case of delays, penalties are calculated at a rate equal to LIBOR (3 months) + 4% per year published by "ICE BENCHMARK ADMINISTRATION" at 11:00 AM, London time. Financing: Depending on the evolution of the financial markets, the Seller can make adjustments that will be stated in a written notice to the Buyer within 15 days. Financing through "BNP PARIBAS": Option A) financing through SUPPLEMENTAL: In case of vessels delivered to Midia/ C-ta for which the Seller's financing line is used, at 60 days from the B/L date (B/L date = zero day) the value will be considered under the BNP Supplemental financing facility. The Buyer will pay the country risk commission of 2% per year and the interest calculated based on the BNP rate* + 0.25% BNP spread to KMGT + 0.30% BNP spread to Rompetrol Rafinare, per year. *BNP rate changes weekly: the rate valid in the last week of the month is applied. The fee is calculated from the date of entry under the financing facility until the date of payment made by the Buyer to BNP. Bank charges for financing (including but not limited to L/C, storage fees) will be re-invoiced by the Seller to the Buyer at a fixed cost of 1.6 o/oo. Depending on the evolution of the financial markets, the Seller can make adjustments that
2	KazMunayGas Trading AG	Affiliate	08478/01.12.2019-RR - PART 1-2020-07	Purchase of raw materials	USD 26,234,593		NA	30 days from the B/L date	
3	KazMunayGas Trading AG	Affiliate	08478/01.12.2019-RR - PART 2-2020-07	Purchase of raw materials	USD 26,613,892		NA	30 days from the B/L date	
4	KazMunayGas Trading AG	Affiliate	08478/01.12.2019 - PART 3_LIGHT CRUDE-2020-07	Purchase of raw materials	USD 23,152,684		NA	30 days from the B/L date	
5	KazMunayGas Trading AG	Affiliate	08478/01.12.2019 - PART 1-2020-08	Purchase of raw materials	USD 26,133,044		NA	30 days from the B/L date	

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6	KazMunayGas Trading AG	Affiliate	08478/01.12.2019 - PART 2-2020-08	Purchase of raw materials	USD 26,228,148		NA	30 days from the B/L date	<p>will be stated in a written notice to the Buyer within 15 days.</p> <p>Option B) financing vs Holding Certificate: In case of vessels delivered to Midia/ C-ta, the Buyer will issue a Holding Certificate, will place it to the Seller's financing line "BNP Paribas" and will pay the interest for the period between the certificate issuance until the full payment. Interest is calculated on the basis of the BNP rate* +0.25% BNP spread to KMGMT + 0.30% BNP spread to Rompetrol Rafinare, per year. *BNP rate changes weekly: the rate valid in the last week of the month applies.</p> <p>If the deliveries are made by issuing EX STOCK C-ta and/or Midia, under the facility of the BNP Supplemental financing line, the Buyer will pay in addition a country and processing risk commission of 2% p.a. The commission is calculated from the date of issue until the final payment to BNP. Bank charges for financing (including but not limited to L/C fees) will be re-invoiced by the Seller to the Buyer at a fixed cost of 1.6 o/oo. Minimum fee for each LC is USD 535. Depending on the evolution of the financial markets the Seller can make adjustments that shall be stated in a written notice to the Buyer within 15 days.</p> <p>Financing through "Credit Agricole": In case of vessels for which the Seller's financing line is used, the Buyer will pay the interest calculated on the basis of Credit Agricole COF + 2.00% Credit Agricole financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 45 days from the Seller's payment to the Supplier (3rd party Suppliers). In case of vessels for which the Seller's financing line is used, the Buyer will pay the interest calculated based on Credit Agricole COF + 2.00% Credit Agricole financing of group related + 0.30% spread to Rompetrol Rafinare - 45 days from the Seller's payment to the Supplier (group related) Bank charges for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.6 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.85 o/oo. Minimum fee for each LC is USD 1200.</p> <p>Financing through "Natixis": In case of vessels delivered to Midia/ C-ta using the Seller's financing line, 60 days from the B/L date, the Buyer will pay the interest calculated on the basis of Natixis COF + 2.00% Natixis financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 60 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank expenses for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.30 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.85 o/oo. Minimum fee for each LC is USD 1200.</p> <p>Financing through "Sperbank (Switzerland) AG": In case of vessels delivered to Midia/ C-ta using the Seller's financing line, 120 days from the date of B/L, the Buyer will pay the interest calculated on the basis of Sperbank (Switzerland) AG COF + 1.50% Sperbank financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 120 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank charges for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.60 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 1.60 o/oo. Minimum fee for each LC is USD 1500.</p> <p>Financing through "MUFG BANK LTD": In case of vessels delivered to Midia/ C-ta using the Seller's financing line, 120 days from the date of B/L, the Buyer will pay the interest calculated on the basis of MUFG BANK LTD COF + 1.50% MUFG BANK LTD financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 120 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank charges for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.60 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 1.60 o/oo. Minimum fee for each LC is USD 1500.</p> <p>Financing through "BANQUE DE COMMERCE ET DE PLACEMENTS SA (BCP)": In case of vessels delivered to Midia/ C-ta using the Seller's financing line, 90 days from the date of B/L, the Buyer will pay the interest calculated on the basis of BCP + 1.50% BCP financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 90 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank charges for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.80 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.80 o/oo. Minimum fee for each LC is USD 1500.</p> <p>Financing through "ING BANK N.V. AMSTERDAM, LANCY/GENEVA BRANCH (ING)": In case of</p>
7	KazMunayGas Trading AG	Affiliate	08478/01.12.2019 - PART 3-2020-08	Purchase of raw materials	USD 26,217,867		NA	30 days from the B/L date	
8	KazMunayGas Trading AG	Affiliate	08478-RR_PART 4_LIGHT CRUDE-2020-08	Purchase of raw materials	USD 24,945,363		NA	30 days from the B/L date	
9	KazMunayGas Trading AG	Affiliate	08478-RR_Part 1-2020-09	Purchase of raw materials	USD 22,579,065		NA	30 days from the B/L date	
10	KazMunayGas Trading AG	Affiliate	08478-RR_Part 1-2020-09	Purchase of raw materials	USD 23,781,904		NA	30 days from the B/L date	
11	KazMunayGas Trading AG	Affiliate	08478-RR_PART 4_LIGHT CRUDE-2020-09	Purchase of raw materials	USD 24,358,305		NA	30 days from the B/L date	
12	KazMunayGas Trading AG	Affiliate	08478-RR_Part 1-2020-10	Purchase of raw materials	USD 23,466,091		NA	30 days from the B/L date	
13	KazMunayGas Trading AG	Affiliate	08478-RR_PART 4_LIGHT CRUDE-2020-10	Purchase of raw materials	USD 23,941,607		NA	30 days from the B/L date	
14	KazMunayGas Trading AG	Affiliate	08478-RR_Part 3-2020-10	Purchase of raw materials	USD 36,991,914		NA	30 days from the B/L date	
15	KazMunayGas Trading AG	Affiliate	08478-RR_PART 2-2020-11	Purchase of raw materials	USD 25,353,665		NA	30 days from the B/L date	
16	KazMunayGas Trading AG	Affiliate	08478-RR_PART3_LIGHT CRUDE-2020-11	Purchase of raw materials	USD 26,980,361		NA	30 days from the B/L date	

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									vessels delivered to Midia/ C-ta using the Seller's financing line, 90 days from the date of B/L, the Buyer will pay the interest calculated on the basis of "ING" COF + 1.50% BCP financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 90 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank charges for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.80 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.80 o/oo. Minimum fee for each LC is USD 1500.
17	KazMunayGas Trading AG	Affiliate	08726-IPEK KBT EBCO-2020-06	Purchase of raw materials	USD 24,269,155		NA	30 days from the NOR date	<p>Penalties for each day of delay, interest equal to the LIBOR rate (1 month) + 2.50% per year, published by ICE BENCHMARK ADMINISTRATION at 11:00 AM, London time.</p> <p>The interest is cumulated starting with the 31st day until the 60th from the NOR date until the date of the payment made by the Buyer. Except for the above conditions, the Buyer will pay penalties for each day of delay at the rate at which KMGMT pays them to its supplier based on the invoice. The penalty invoice is due 5 working days after receipt. In case of delays, penalties are calculated at a rate equal to LIBOR (3 months) + 4% per year published by "ICE BENCHMARK ADMINISTRATION" at 11:00 AM, London time.</p> <p>Financing: Depending on the evolution of the financial markets, the Seller can make adjustments that will be stated.</p> <p>Financing through "Natixis": In case of vessels delivered to Midia/ C-ta using the Seller's financing line, 75 days from the B/L date, the Buyer will pay the interest calculated on the basis of Natixis COF + 2.00% Natixis financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 60 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank expenses for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.30 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.85 o/oo. Minimum fee for each LC is USD 1200.</p> <p>Financing through "BANQUE DE COMMERCE ET DE PLACEMENTS SA (BCP)": In case of vessels delivered to Midia/ C-ta using the Seller's financing line, 90 days from the date of B/L, the Buyer will pay the interest calculated on the basis of BCP + 1.50% BCP financing of 3rd party Suppliers + 0.30% spread to Rompetrol Rafinare, per year - 90 days from the payment of the Seller to the Supplier (3rd party Suppliers). Bank charges for financing (account opening) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.80 o/oo. Bank charges for financing (fees for L/C) will be re-invoiced by the Seller to the Buyer at a fixed cost of 0.80 o/oo. Minimum fee for each LC is USD 1500.</p> <p>BNP rate changes weekly: the rate valid in the last week of the month is applied</p> <p>If the deliveries are made by issuing EX STOCK C-ta and/or Midia, under the facility of the BNP Supplemental financing line, the Buyer will pay in addition a country and processing risk commission of 2% p.a. The commission is calculated from the date of issue until the final payment to BNP.</p> <p>Bank charges for financing (including but not limited to L/C fees) will be re-invoiced by the Seller to the Buyer at a fixed cost of 1.6 o/oo. Minimum fee for each LC is USD 535.</p>
18	KazMunayGas Trading AG	Affiliate	08726-IPEK KBT EBCO-2020-09	Purchase of raw materials	USD 21,996,239		NA	30 days from the NOR date	
19	KazMunayGas Trading AG	Affiliate	08726-IPEK KBT EBCO-2020-08	Purchase of raw materials	USD 22,338,534		NA	30 days from the NOR date	
20	KazMunayGas Trading AG	Affiliate	08726-IPEK KBT EBCO-2020-10	Purchase of raw materials	USD 22,991,861		NA	30 days from the NOR date	
21	KazMunayGas Trading AG	Affiliate	08726-IPEK KBT EBCO-2020-11	Purchase of raw materials	USD 24,356,154		NA	30 days from the NOR date	
22	KazMunayGas Trading AG	Affiliate	Add 178 - Contract 03428/22.12.2014	Purchase of raw materials	USD 7,235,577		NA	30 days from the B/L date	
23	KazMunayGas Trading AG	Affiliate	Add 179 - Contract 03428/22.12.2014	Purchase of raw materials	USD 7,273,882		NA	30 days from the B/L date	
24	KazMunayGas Trading AG	Affiliate	Add 180 /30.07.2020 - Contract 03428/22.12.2014	Purchase of raw materials	USD 2,053,437		NA	30 days from the B/L date	
25	KazMunayGas Trading AG	Affiliate	Add 184 /02.09.2020 - Contract 03428/22.12.2014	Purchase of raw materials	USD 6,111,066		NA	30 days from the B/L date	
26	KazMunayGas Trading AG	Affiliate	Add 185 /02.09.2020 - Contract 03428/22.12.2015	Purchase of raw materials	USD 1,718,334		NA	30 days from the B/L date	
27	KazMunayGas Trading AG	Affiliate	Add 186 /09.09.2020 - Contract 03428/22.12.2015	Purchase of raw materials	USD 1,815,173		NA	30 days from the B/L date	
28	KazMunayGas Trading AG	Affiliate	Add 187 /28.09.2020 -	Purchase of raw materials	USD 1,632,386		NA	30 days from the B/L date	

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0	1	2	3	4	5	6	7	8	9
			Contract 03428/22.12.2015						
29	KazMunayGas Trading AG	Affiliate	Add 188 /02.09.2020 - Contract 03428/22.12.2015	Purchase of raw materials	USD 1,618,412		NA	30 days from the B/L date	
30	KazMunayGas Trading AG	Affiliate	Add 189 /01.10.2020 - Contract 03428/22.12.2015	Purchase of raw materials	USD 3,055,969		NA	30 days from the B/L date	
31	KazMunayGas Trading AG	Affiliate	Add 190 /02.10.2020 - Contract 03428/22.12.2015	Purchase of raw materials	USD 6,569,022		NA	30 days from the B/L date	
32	KazMunayGas Trading AG	Affiliate	Add 191 /02.10.2020 - Contract 03428/22.12.2015	Purchase of raw materials	USD 1,608,037		NA	30 days from the B/L date	
33	KazMunayGas Trading AG	Affiliate	Add 192 /23.10.2020 - Contract 03428/22.12.2014	Purchase of raw materials	USD 6,629,925		NA	30 days from the B/L date	
34	KazMunayGas Trading AG	Affiliate	Add 193 /06.11.2020 - Contract 03428/22.12.2014	Purchase of raw materials	USD 3,798,446		NA	30 days from the B/L date	
35	KazMunayGas Trading AG	Affiliate	Add 194 /18.11.2020 - Contract 03428/22.12.2014	Purchase of raw materials	USD 1,956,427		NA	30 days from the B/L date	
36	UZINA TERMoeLECTRICA MIDIA S.A.	Affiliate	Contract No. RR 2/21.12.2020	Thermal energy supply	RON 87,268,243.18		without guarantees	45 calendar days from the date of issuing the invoice	

No.	Name of the Affiliate party	Nature of the relationship with the Affiliate	Type and No. Contract, date of conclusion	Object of transactions	Transaction value	Mutual liabilities	Guarantees constituted	Terms and methods of payment	Other Information
0	1	2	3	4	5	6	7	8	9
37	ROMINSERV S.R.L.	Affiliate	1 order issued in July 2020, based on Contract RR 4/27.02.2019 and Additional Act no.1 as of 19.09.2019	Contracting	USD 261,000 (without TVA)		<p>Unless otherwise agreed by the Parties in writing or where the law imposes a longer warranty period (such as: the 36-month warranty period applicable to works relating to constructions of C importance category; 10-year warranty from receipt of work for hidden construction defects; the warranty period equal to the duration of the construction, for the defects of the resistance structure resulting from the non-observance of the design and execution rules in force at the date of its construction), the warranty period shall be of:</p> <p>12 (twelve) calendar months, starting with the date of the DELIVERY PROTOCOL AT THE TERMINATION OF THE WORKS;</p> <p>12 (twelve) calendar months, starting with the date of the RECEPTION PROTOCOL, in case of the works mentioned in art.1.1. paragraph 1, letter b), but not more than 15 months after the signing of the delivery protocol at the termination of the works, provided that the putting into service is not delayed or prevented by causes attributable to the Beneficiary, in which case the term of the guarantee shall be twelve months old and will begin to run from the date of the DELIVERY PROTOCOL AT THE TERMINATION OF THE WORKS;</p> <p>Prior to the expiration of the Warranty Period and within it, the Beneficiary will promptly inform the Contractor of all issues arising in the works executed (but no later than 2 days from their becoming aware of them), the potential causes that have caused the problems and consequences that may arise, and the Parties will decide together what measures are needed to be adopted, the term to remedy the problems arising from the nature of the incident and its circumstances, and any other particular matters.</p>	Payment term - within 30 banking days from the receipt of the invoice	The parties have agreed upon delay penalties of payment obligation, amounting 0,06% of the total unpaid amount, for each day of delay; the amount of the delay penalties shall not exceed 3% of the total amount. Moreover, in the case of delayed payment by the Beneficiary, the Undertaker is entitled to request the Beneficiary the amount that represents the exchange difference between effective date of payment and due date, keeping in mind the exchange rate published by BNR for RON/USD or RON/EUR, whichever the case may be, depending on the two dates.

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38	ROMINSERV S.R.L.	Affiliate	2 orders and 2 Change Requests issued in November 2020, based on Contract RR 4/27.02.2019 and Additional Act no.1 as of 19.09.2019	Contracting	USD 11,597,997 (without TVA)		<p>Unless otherwise agreed by the Parties in writing or where the law imposes a longer warranty period (such as: the 36-month warranty period applicable to works relating to constructions of C importance category; 10-year warranty from receipt of work for hidden construction defects; the warranty period equal to the duration of the construction, for the defects of the resistance structure resulting from the non-observance of the design and execution rules in force at the date of its construction), the warranty period shall be of:</p> <p>12 (twelve) calendar months, starting with the date of the DELIVERY PROTOCOL AT THE TERMINATION OF THE WORKS;</p> <p>12 (twelve) calendar months, starting with the date of the RECEPTION PROTOCOL, in case of the works mentioned in art.1.1. paragraph 1, letter b), but not more than 15 months after the signing of the delivery protocol at the termination of the works, provided that the putting into service is not delayed or prevented by causes attributable to the Beneficiary, in which case the term of the guarantee shall be twelve months old and will begin to run from the date of the DELIVERY PROTOCOL AT THE TERMINATION OF THE WORKS;</p> <p>Prior to the expiration of the Warranty Period and within it, the Beneficiary will promptly inform the Contractor of all issues arising in the works executed (but no later than 2 days from their becoming aware of them), the potential causes that have caused the problems and consequences that may arise, and the Parties will decide together what measures are needed to be adopted, the term to remedy the problems arising from the nature of the incident and its circumstances, and any other particular matters.</p>	Payment term - within 30 banking days from the receipt of the invoice	The parties have agreed upon delay penalties of payment obligation, amounting 0,06% of the total unpaid amount, for each day of delay; the amount of the delay penalties shall not exceed 3% of the total amount. Moreover, in the case of delayed payment by the Beneficiary, the Undertaker is entitled to request the Beneficiary the amount that represents the exchange difference between effective date of payment and due date, keeping in mind the exchange rate published by BNR for RON/USD or RON/EUR, whichever the case may be, depending on the two dates.
39	ROMINSERV S.R.L.	Affiliate	1 order and 1 Chnge Request issued in December 2020, based on Contract RR 4/27.02.2019 and Additional Act no.1 as of 19.09.2019	Contracting	USD 1,163,959.56 (without TVA)		<p>Unless otherwise agreed by the Parties in writing or where the law imposes a longer warranty period (such as: the 36-month warranty period applicable to works relating to constructions of C importance category; 10-year warranty from receipt of work for hidden construction defects; the warranty period equal to the duration of the construction, for the defects of the resistance structure resulting from the non-observance of the design and execution rules in force at the date of its construction), the warranty period shall be of:</p> <p>12 (twelve) calendar months, starting with the date of the DELIVERY PROTOCOL AT THE TERMINATION OF THE WORKS;</p> <p>12 (twelve) calendar months, starting with the date of the RECEPTION PROTOCOL, in case of the works mentioned in art.1.1. paragraph 1, letter b), but not more than 15 months after the signing of the delivery protocol at the termination of the works, provided that the putting into service is not delayed or</p>	Payment term - within 30 banking days from the receipt of the invoice	The parties have agreed upon delay penalties of payment obligation, amounting 0,06% of the total unpaid amount, for each day of delay; the amount of the delay penalties shall not exceed 3% of the total amount. Moreover, in the case of delayed payment by the Beneficiary, the Undertaker is entitled to request the Beneficiary the amount that represents the exchange difference between effective date of payment and due date, keeping in mind the exchange rate published by BNR for RON/USD or RON/EUR, whichever the case may be, depending on the two dates.

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							prevented by causes attributable to the Beneficiary, in which case the term of the guarantee shall be twelve months old and will begin to run from the date of the DELIVERY PROTOCOL AT THE TERMINATION OF THE WORKS; Prior to the expiration of the Warranty Period and within it, the Beneficiary will promptly inform the Contractor of all issues arising in the works executed (but no later than 2 days from their becoming aware of them), the potential causes that have caused the problems and consequences that may arise, and the Parties will decide together what measures are needed to be adopted, the term to remedy the problems arising from the nature of the incident and its circumstances, and any other particular matters.		
<b>Transactions of ROMPETROL RAFINARE S.A. (Issuer) as Supplier</b>									
1	KazMunayGas Trading AG	Affiliate	Add.121/01.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 450,821		NA	20 days after delivery, telegraphic transfer/compensation	Penalties for each day (payment due date vs. actual payment date), at LIBOR rate 1 month series + 3%; Penalties will be paid within 5 working days. In case of non-compliance with the specified payment term of the penalties, the penalties will be calculated at LIBOR rate (3 months) from the due date of the penalty invoice plus 4% per year.
2	KazMunayGas Trading AG	Affiliate	Add.122/02.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 763,420		NA	20 days after delivery, telegraphic transfer/compensation	
3	KazMunayGas Trading AG	Affiliate	Add.123/06.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,041,874		NA	31 days after delivery, telegraphic transfer/compensation	
4	KazMunayGas Trading AG	Affiliate	Add.124/07.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 638,308		NA	20 days after delivery, telegraphic transfer/compensation	
5	KazMunayGas Trading AG	Affiliate	Add.125/08.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 8,458,756		NA	12 calendar days after delivery, based on the provisional invoice, issued based on known quotations, final invoice in 2 NY/ Bank days after the end of the valuation period, telegraphic transfer	
6	KazMunayGas Trading AG	Affiliate	Add.126/07.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 571,428		NA	20 days after delivery, telegraphic transfer/compensation	
7	KazMunayGas Trading AG	Affiliate	Add.127/10.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,054,422		NA	12 NY Banking days after the B/L date, based on the commercial invoice, tt	

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0	1	2	3	4	5	6	7	8	9
8	KazMunayGas Trading AG	Affiliate	Add.128/10.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 913,370		NA	20 days after delivery, telegraphic transfer/compensation	
9	KazMunayGas Trading AG	Affiliate	Add.129/12.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 307,149		NA	20 days after delivery, telegraphic transfer/compensation	
10	KazMunayGas Trading AG	Affiliate	Add.130/12.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 175,821		NA	20 days after delivery, telegraphic transfer/compensation	
11	KazMunayGas Trading AG	Affiliate	Add.131/12.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 416,385		NA	20 days after delivery, telegraphic transfer/compensation	
12	KazMunayGas Trading AG	Affiliate	Add.132/14.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 489,930		NA	46 days after delivery, telegraphic transfer/compensation	
13	KazMunayGas Trading AG	Affiliate	Add.133/14.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 644,360		NA	20 days after delivery, telegraphic transfer/compensation	
14	KazMunayGas Trading AG	Affiliate	Add.134/14.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 578,124		NA	20 days after delivery, telegraphic transfer/compensation	
15	KazMunayGas Trading AG	Affiliate	Add.135/14.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,145,296		NA	31 days after delivery, telegraphic transfer/compensation	
16	KazMunayGas Trading AG	Affiliate	Add.136/15.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 10,692,216		NA	12 NY Banking days after the B/L date, tt/compensation,	
17	KazMunayGas Trading AG	Affiliate	Add.137/16.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 789,094		NA	7 calendar days after delivery, based on the provisional invoice issued based on the known quotations, the final invoice in 32 days	
18	KazMunayGas Trading AG	Affiliate	Add.138/17.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 862,052		NA	20 days after delivery, telegraphic transfer/compensation	
19	KazMunayGas Trading AG	Affiliate	Add.139/20.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,337,031		NA	12 calendar days after delivery, based on the provisional invoice, issued based on known quotations,	



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0	1	2	3	4	5	6	7	8	9
								final invoice in 2 NY/ Bank days after the end of the valuation period, telegraphic transfer	
20	KazMunayGas Trading AG	Affiliate	Add.140/18.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 315,769		NA	20 days after delivery, telegraphic transfer/compensation	
21	KazMunayGas Trading AG	Affiliate	Add.141/18.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 191,379		NA	20 days after delivery, telegraphic transfer/compensation	
22	KazMunayGas Trading AG	Affiliate	Add.142/22.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 453,159		NA	20 days after delivery, telegraphic transfer/compensation	
23	KazMunayGas Trading AG	Affiliate	Add.143/23.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 648,606		NA	20 days after delivery, telegraphic transfer/compensation	
24	KazMunayGas Trading AG	Affiliate	Add.144/24.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 747,999		NA	20 days after delivery, telegraphic transfer/compensation	
25	KazMunayGas Trading AG	Affiliate	Add.145/27.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,061,399		NA	12 NY Banking days after the B/L date, based on the provisional invoice, tt/compensation,	
26	KazMunayGas Trading AG	Affiliate	Add.146/28.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 487,814		NA	46 days after delivery, telegraphic transfer/compensation	
27	KazMunayGas Trading AG	Affiliate	Add.147/28.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,125,164		NA	31 days after delivery, telegraphic transfer/compensation	
28	KazMunayGas Trading AG	Affiliate	Add.148/31.07.2020 - AG 2020-RRC 1/12.12.2019 (**)	Sales of petroleum products	USD 43,670,750		NA	crude oil financing contract	
29	KazMunayGas Trading AG	Affiliate	Add.149/31.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 852,909		NA	20 days after delivery, telegraphic transfer/compensation	
30	KazMunayGas Trading AG	Affiliate	Add.150/31.07.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,111,683		NA	31 days after delivery, telegraphic transfer/compensation	

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0	1	2	3	4	5	6	7	8	9
31	KazMunayGas Trading AG	Affiliate	Add.151/04.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 3,599,294		NA	12 days after delivery, telegraphic transfer/compensation	
32	KazMunayGas Trading AG	Affiliate	Add.152/06.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,123,943		NA	31 days after delivery, telegraphic transfer/compensation	
33	KazMunayGas Trading AG	Affiliate	Add.153/06.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 756,113		NA	20 days after delivery, telegraphic transfer/compensation	
34	KazMunayGas Trading AG	Affiliate	Add.154/10.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,053,304		NA	31 days after delivery, telegraphic transfer/compensation	
35	KazMunayGas Trading AG	Affiliate	Add.155/11.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,310,182		NA	12 NY Banking days after the B/L date, based on the provisional invoice, tt/compensation,	
36	KazMunayGas Trading AG	Affiliate	Add.156/11.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 568,608		NA	20 days after delivery, telegraphic transfer/compensation	
37	KazMunayGas Trading AG	Affiliate	Add.157/11.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 570,890		NA	20 days after delivery, telegraphic transfer/compensation	
38	KazMunayGas Trading AG	Affiliate	Add.158/13.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 643,354		NA	20 days after delivery, telegraphic transfer/compensation	
39	KazMunayGas Trading AG	Affiliate	Add.159/20.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 901,367		NA	20 days after delivery, telegraphic transfer/compensation	
40	KazMunayGas Trading AG	Affiliate	Add.160/24.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 625,139		NA	46 days after delivery, telegraphic transfer/compensation	
41	KazMunayGas Trading AG	Affiliate	Add.161/25.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 617,207		NA	20 days after delivery, telegraphic transfer/compensation	
42	KazMunayGas Trading AG	Affiliate	Add.162/27.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 4,184,238		NA	31 days after delivery, telegraphic transfer/compensation	

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0	1	2	3	4	5	6	7	8	9
43	KazMunayGas Trading AG	Affiliate	Add.163/27.08.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 771,864		NA	20 days after delivery, telegraphic transfer/compensation	
44	KazMunayGas Trading AG	Affiliate	Add.164/03.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 8,946,342		NA	12 calendar days after delivery, based on the provisional invoice, issued based on known quotations, final invoice in 2 NY/ Bank days after the end of the valuation period, telegraphic transfer	
45	KazMunayGas Trading AG	Affiliate	Add.165/03.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 473,890		NA	20 days after delivery, telegraphic transfer/compensation	
46	KazMunayGas Trading AG	Affiliate	Add.166/03.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 471,796		NA	20 days after delivery, telegraphic transfer/compensation	
47	KazMunayGas Trading AG	Affiliate	Add.167/10.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 763,309		NA	20 days after delivery, telegraphic transfer/compensation	
48	KazMunayGas Trading AG	Affiliate	Add.168/10.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 477,534		NA	20 days after delivery, telegraphic transfer/compensation	
49	KazMunayGas Trading AG	Affiliate	Add.169/10.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 490,990		NA	20 days after delivery, telegraphic transfer/compensation	
50	KazMunayGas Trading AG	Affiliate	Add.170/11.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 118,605		NA	20 days after delivery, telegraphic transfer/compensation	
51	KazMunayGas Trading AG	Affiliate	Add.171/11.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 214,090		NA	20 days after delivery, telegraphic transfer/compensation	
52	KazMunayGas Trading AG	Affiliate	Add.172/14.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,588,526		NA	12 days after delivery, telegraphic transfer/compensation	
53	KazMunayGas Trading AG	Affiliate	Add.173/12.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 8,968,619		NA	10 days after delivery, telegraphic transfer/compensation	

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0	1	2	3	4	5	6	7	8	9
54	KazMunayGas Trading AG	Affiliate	Add.174/14.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,791,090		NA	31 days after delivery, telegraphic transfer/compensation	
55	KazMunayGas Trading AG	Affiliate	Add.175/14.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 690,593		NA	32 days after delivery, telegraphic transfer/compensation	
56	KazMunayGas Trading AG	Affiliate	Add.176/15.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 509,441		NA	46 days after delivery, telegraphic transfer/compensation	
57	KazMunayGas Trading AG	Affiliate	Add.177/15.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 492,580		NA	20 days after delivery, telegraphic transfer/compensation	
58	KazMunayGas Trading AG	Affiliate	Add.178/16.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 485,107		NA	20 days after delivery, telegraphic transfer/compensation	
59	KazMunayGas Trading AG	Affiliate	Add.179/18.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 492,128		NA	20 days after delivery, telegraphic transfer/compensation	
60	KazMunayGas Trading AG	Affiliate	Add.180/22.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,828,561		NA	31 days after delivery, telegraphic transfer/compensation	
61	KazMunayGas Trading AG	Affiliate	Add.181/23.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 372,430		NA	20 days after delivery, telegraphic transfer/compensation	
62	KazMunayGas Trading AG	Affiliate	Add.182/24.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 471,827		NA	20 days after delivery, telegraphic transfer/compensation	
63	KazMunayGas Trading AG	Affiliate	Add.183/28.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 508,194		NA	46 days after delivery, telegraphic transfer/compensation	
64	KazMunayGas Trading AG	Affiliate	Add.184/29.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,773,210		NA	31 days after delivery, telegraphic transfer/compensation	
65	KazMunayGas Trading AG	Affiliate	Add.185/29.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 528,668		NA	20 days after delivery, telegraphic transfer/compensation	

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0	1	2	3	4	5	6	7	8	9
66	KazMunayGas Trading AG	Affiliate	Add.186/29.09.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,994,576		NA	12 days after delivery, telegraphic transfer/compensation	
67	KazMunayGas Trading AG	Affiliate	Add.187/02.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 964,803		NA	20 days after delivery, telegraphic transfer/compensation	
68	KazMunayGas Trading AG	Affiliate	Add.188/03.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 9,151,769		NA	8 NY Banking days after delivery, telegraphic transfer/compensation	
69	KazMunayGas Trading AG	Affiliate	Add.189/05.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,801,640		NA	31 days after delivery, telegraphic transfer/compensation	
70	KazMunayGas Trading AG	Affiliate	Add.190/08.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 568,909		NA	20 days after delivery, telegraphic transfer/compensation	
71	KazMunayGas Trading AG	Affiliate	Add.191/12.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 521,223		NA	20 days after delivery, telegraphic transfer/compensation	
72	KazMunayGas Trading AG	Affiliate	Add.192/13.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 3,848,692		NA	31 days after delivery, telegraphic transfer/compensation	
73	KazMunayGas Trading AG	Affiliate	Add.193/14.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 522,217		NA	20 days after delivery, telegraphic transfer/compensation	
74	KazMunayGas Trading AG	Affiliate	Add.194/16.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 577,089		NA	20 days after delivery, telegraphic transfer/compensation	
75	KazMunayGas Trading AG	Affiliate	Add.195/17.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 110,227		NA	20 days after delivery, telegraphic transfer/compensation	
76	KazMunayGas Trading AG	Affiliate	Add.196/17.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 299,219		NA	20 days after delivery, telegraphic transfer/compensation	
77	KazMunayGas Trading AG	Affiliate	Add.197/17.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 93,298		NA	20 days after delivery, telegraphic transfer/compensation	

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0	1	2	3	4	5	6	7	8	9
78	KazMunayGas Trading AG	Affiliate	Add.198/19.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 508,973		NA	20 days after delivery, telegraphic transfer/compensation	
79	KazMunayGas Trading AG	Affiliate	Add.199/22.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 545,049		NA	20 days after delivery, telegraphic transfer/compensation	
80	KazMunayGas Trading AG	Affiliate	Add.200/23.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 7,643,077		NA	10 calendar days after delivery, telegraphic transfer/compensation	
81	KazMunayGas Trading AG	Affiliate	Add.201/23.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 654,181		NA	20 days after delivery, telegraphic transfer/compensation	
82	KazMunayGas Trading AG	Affiliate	Add.202/28.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 760,538		NA	46 days after delivery, telegraphic transfer/compensation	
83	KazMunayGas Trading AG	Affiliate	Add.203/28.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,946,662		NA	31 days after delivery, telegraphic transfer/compensation	
84	KazMunayGas Trading AG	Affiliate	Add.204/29.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 487,782		NA	20 days after delivery, telegraphic transfer/compensation	
85	KazMunayGas Trading AG	Affiliate	Add.205/30.10.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 836,171		NA	20 days after delivery, telegraphic transfer/compensation	
86	KazMunayGas Trading AG	Affiliate	Add.206/03.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 2,545,766		NA	1 NY Banking day after sending the proforma invoice based on the quotation dated 29.10.2020, regularization in 2 NY Banking days after the valuation period, telegraphic transfer/compensation	
87	KazMunayGas Trading AG	Affiliate	Add.207/06.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 532,885		NA	20 days after delivery, telegraphic transfer/compensation	
88	KazMunayGas Trading AG	Affiliate	Add.208/09.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 9,083,427		NA	11 calendar days after delivery, telegraphic transfer/compensation	

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0	1	2	3	4	5	6	7	8	9
89	KazMunayGas Trading AG	Affiliate	Add.209/10.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,749,568		NA	31 days after delivery, telegraphic transfer/compensation	
90	KazMunayGas Trading AG	Affiliate	Add.210/11.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 886,068		NA	20 days after delivery, telegraphic transfer/compensation	
91	KazMunayGas Trading AG	Affiliate	Add.211/18.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 590,459		NA	20 days after delivery, telegraphic transfer/compensation	
92	KazMunayGas Trading AG	Affiliate	Add.212/22.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 628,743		NA	20 days after delivery, telegraphic transfer/compensation	
93	KazMunayGas Trading AG	Affiliate	Add.213/23.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 905,282		NA	20 days after delivery, telegraphic transfer/compensation	
94	KazMunayGas Trading AG	Affiliate	Add.214/25.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 600,341		NA	20 days after delivery, telegraphic transfer/compensation	
95	KazMunayGas Trading AG	Affiliate	Add.215/26.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 723,608		NA	46 days after delivery, telegraphic transfer/compensation	
96	KazMunayGas Trading AG	Affiliate	Add.216/27.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 9,750,425		NA	11 NY Banking days after delivery, telegraphic transfer/compensation	
97	KazMunayGas Trading AG	Affiliate	Add.217/27.11.2020 - AG 2020-RRC 1/12.12.2019	Sales of petroleum products	USD 1,917,264		NA	31 days after delivery, telegraphic transfer/compensation	
98	KazMunayGas Trading AG	Affiliate	Add.218/03.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 597,048		NA	20 days after delivery, telegraphic transfer/compensation	
99	KazMunayGas Trading AG	Affiliate	Add. 219/03.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 1,996,074		NA	31 days after delivery, telegraphic transfer/compensation	
100	KazMunayGas Trading AG	Affiliate	Add.220/09.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 642,647		NA	20 days after delivery, telegraphic transfer/compensation	
101	KazMunayGas Trading AG	Affiliate	Add.221/09.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 630,981		NA	20 days after delivery, telegraphic transfer/compensation	
102	KazMunayGas Trading AG	Affiliate	Add. 222/13.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 10,812,179		NA	2 NY Banking days after sending the proforma invoice based on the quotation from 08.12.2020, regularization in 2 NY	

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0	1	2	3	4	5	6	7	8	9
								Banking days after the valuation period, telegraphic transfer/compensation	
103	KazMunayGas Trading AG	Affiliate	Add.223/14.12.2020 - AG RRC 2020-1/12.12.2019 (*)	Sales of petroleum products	USD 721,378		NA	46 days after delivery, telegraphic transfer/compensation	
104	KazMunayGas Trading AG	Affiliate	Add.224/14.12.2020 - AG RRC 2020-1/12.12.2019 (*)	Sales of petroleum products	USD 914,320		NA	20 days after delivery, telegraphic transfer/compensation	
105	KazMunayGas Trading AG	Affiliate	Add.225/14.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 576,233		NA	20 days after delivery, telegraphic transfer/compensation	
106	KazMunayGas Trading AG	Affiliate	Add. 226/15.12.2020 - AG RRC 2020-1/12.12.2019	Sales of petroleum products	USD 2,238,400		NA	31 days after delivery, telegraphic transfer/compensation	
<b>Other transactions concluded by Rompetrol Rafinare S.A. (Issuer)</b>									
1	KazMunayGas Trading A.G.	Affiliate	Notice of Compensation No. RRC 4/ December 30, 2020	Compensation operation between reciprocal receivables held by Rompetrol Rafinare S.A. and KazMunayGas Trading AG	USD 73,922,935.82	Receivables subject to set-off (compensation)			The receivables come from the supply of crude oil by KazMunayGas Trading AG, respectively the supply of petroleum products by Rompetrol Rafinare S.A.
2	KMG ROMPETROL SRL - as Coordinating Company	Affiliate	RR loan agreement registered under no. 3 from 04.08.2014	Running of Available Funds Optimization System of the companies within the Rompetrol Group (Cash pooling)	<p>The value of the average balance* of the RON cash pooling account in July 2020 was - RON 877,083,050.94 and the net interest expense this month was - RON 3,222,421.34.</p> <p>The value of the average balance* of the USD cash pooling account in July 2020 was - USD 85,081,731.68 and the net interest expense was - USD 194,135.77.</p> <p>The value of the average balance* of the EUR cash pooling account in July 2020 was EUR 7,458,149.52 and the net interest income was EUR 12,254.71.</p>			For the Loan/ Credit: daily depending on the payment needs or maturity date (one year from the signing date which is the contract termination date) for the existing balance	



No.	Name of the Affiliate party	Nature of the relationship with the Affiliate	Type and No. Contract, date of conclusion	Object of transactions	Transaction value	Mutual liabilities	Guarantees constituted	Terms and methods of payment	Other Information
0	1	2	3	4	5	6	7	8	9
3	KMG ROMPETROL SRL - as Coordinating Company	Affiliate	RR loan agreement registered under no. 3 from 04.08.2014	Running of Available Funds Optimization System of the companies within the Rompetrol Group (Cash pooling)	<p>The value of the average balance* of the RON cash pooling account in August 2020 was - RON 888,663,832.08 and the net interest expense this month was - RON 3,207,444.17.</p> <p>The value of the average balance* of the USD cash pooling account in August 2020 was - USD 63,555,953.67 and the net interest expense was - USD 149,937.79.</p> <p>The value of the average balance* of the EUR cash pooling account in August 2020 was EUR 10,365,931.22 and the net interest income was EUR 16,492.88.</p>			For the Loan/ Credit: daily depending on the payment needs or maturity date (one year from the signing date which is the contract termination date) for the existing balance	
4	KMG ROMPETROL SRL - as Coordinating Company	Affiliate	RR loan agreement registered under no. 3 from 04.08.2014	Running of Available Funds Optimization System of the companies within the Rompetrol Group (Cash pooling)	<p>The value of the average balance* of the RON cash pooling account in September 2020 was - RON 817,954,338.47 and the net interest expense this month was - RON 2,761,878.51.</p> <p>The value of the average balance* of the USD cash pooling account in September 2020 was - USD 44,130,063.35 and the net interest expense was - USD 99,102.07.</p> <p>The value of the average balance* of the EUR cash pooling account in September 2020 was EUR 11,292,154.56 and the net interest income was EUR 15,569.00.</p>			For the Loan/ Credit: daily depending on the payment needs or maturity date (one year from the signing date which is the contract termination date) for the existing balance	

No.	Name of the Affiliate party	Nature of the relationship with the Affiliate	Type and No. Contract, date of conclusion	Object of transactions	Transaction value	Mutual liabilities	Guarantees constituted	Terms and methods of payment	Other Information
0	1	2	3	4	5	6	7	8	9
5	KMG ROMPETROL SRL - as Coordinating Company	Affiliate	RR loan agreement registered under no. 3 from 04.08.2014	Running of Available Funds Optimization System of the companies within the Rompetrol Group (Cash pooling)	<p>The value of the average balance* of the RON cash pooling account in October 2020 was - RON 865,929,883.01 and the net interest expense this month was - RON 3,144,347.75.</p> <p>The value of the average balance* of the USD cash pooling account in October 2020 was - USD 60,927,858.06 and the net interest expense was - USD 142,218.37.</p> <p>The value of the average balance* of the EUR cash pooling account in October 2020 was EUR 9,485,149.62 and the net interest income was EUR 14,341.54.</p>			For the Loan/ Credit: daily depending on the payment needs or maturity date (one year from the signing date which is the contract termination date) for the existing balance	
6	KMG ROMPETROL SRL - as Coordinating Company	Affiliate	RR loan agreement registered under no. 3 from 04.08.2014	Running of Available Funds Optimization System of the companies within the Rompetrol Group (Cash pooling)	<p>The value of the average balance* of the RON cash pooling account in November 2020 was - RON 890,212,464.98 and the net interest expense this month was - RON 2,854,384.34 .</p> <p>The value of the average balance* of the USD cash pooling account in November 2020 was - USD 71,654,524.10 and the net interest expense was - USD 158,028.31.</p> <p>The value of the average balance* of the EUR cash pooling account in November 2020 was EUR 10,518,309.13 and the net interest income was EUR 15,890.37 .</p>			For the Loan/ Credit: daily depending on the payment needs or maturity date (one year from the signing date which is the contract termination date) for the existing balance	

No.	Name of the Affiliate party	Nature of the relationship with the Affiliate	Type and No. Contract, date of conclusion	Object of transactions	Transaction value	Mutual liabilities	Guarantees constituted	Terms and methods of payment	Other Information
0	1	2	3	4	5	6	7	8	9
7	KMG ROMPETROL SRL - as Coordinating Company	Affiliate	RR loan agreement registered under no. 3 from 04.08.2014	Running of Available Funds Optimization System of the companies within the Rompetrol Group (Cash pooling)	<p>The value of the average balance* of the RON cash pooling account in December 2020 was - RON 984,475,134.92 and the net interest expense this month was - RON 3,221,695.29.</p> <p>The value of the average balance* of the USD cash pooling account in December 2020 was - USD 75,121,147.63 and the net interest expense was - USD 173.976.26.</p> <p>The value of the average balance* of the EUR cash pooling account in December 2020 was EUR 12,979,796.59 and the net interest income was EUR 19,610.61.</p>			For the Loan/ Credit: daily depending on the payment needs or maturity date (one year from the signing date which is the contract termination date) for the existing balance	

(\*) The average balance was calculated based on daily balances, which in some days had the nature of claim, and in others - the nature of debt.

**Abbreviations:**

HC - Holding Certificate  
L/C - letter of Credit  
B/L - Bill of Lading  
NOR - Notice of readiness  
COD - unloading termination  
c/stalii - c/demurrage  
wt - wire transfer  
RWB - railway bill of landing  
ITT - intank transfer  
Ctr. - Contract  
Add - Addendum  
RRC - Rompetrol Rafinare  
N/A - not applicable  
p.a. - per annum  
P/V

**General Manager**  
**Felix CRUDU-TEȘLOVEANU**

**Financial Manager**  
**Ramona-Georgiana GĂLĂȚEANU**